Patent No. 7,416,743 Request for Cert. of Correction dated December 4, 2008 Attorney Docket No. 4544-052144

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No.

7,416,743

Confirmation No. 8251

Inventor

:

Yamini Bhushan TRIPATHI

Issued

August 26, 2008

Title

August 20, 200

Polyherbal Preparation For The Prevention

Of Atherosclerosis And Hyperlipidemia

Examiner

:

Stephen Michael Gravini

Customer No.

28289

# REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT FOR PTO MISTAKE (37 C.F.R. 1.322(a))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

ATTENTION:

Decision and Certificate of Correction Branch

Patent Issue Division

Sir:

In accordance with 35 U.S.C. § 254, we attach hereto Form PTO/SB/44 and a copy of proof of PTO errors and request that a Certificate of Correction be issued in the above-identified patent. The following errors appear in the patent as printed:

<u>Column 5</u>, Line 23, Claim 2, "Shankha Bhusma" should read – Shankha Bhasma – (See the Examiner's Amendment accompanying the Supplemental Notice of Allowability mailed June 4, 2008.

<u>Column 6</u>, Line 24, Claim 5, "Shankha Bhusma" should read – Shankha Bhasma – (See the Examiner's Amendment accompanying the Supplemental Notice of Allowability mailed June 4, 2008.

Respectfully submitted,

THE WEBB LAW FIRM

I certify that this correspondence is being electronically submitted to the United States Patent and Trademark Office on December 4, 2008.

12/04/2008 MA

Date

Signature

Mary Jo Sinicrope

(Typed Name of Person Signing Certificate)

William H. Logsdon

Registration No. 22,132 Attorney for Registrant 700 Koppers Building

436 Seventh Avenue

Pittsburgh, PA 15219

Telephone: (412) 471-8815 Facsimile: (412) 471-4094

E-mail: webblaw@webblaw.com

Page 1 of 1

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

(Also Form PTO-1050)

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO.

7,416,743

APPLICATION NO.

10/542,127

ISSUE DATE

August 26, 2008

**INVENTOR** 

Tripathi

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 5, Line 23, Claim 2, "Shankha Bhusma" should read - Shankha Bhasma -

Column 6, Line 24, Claim 5, "Shankha Bhusma" should read - Shankha Bhasma -

MAILING ADDRESS OF SENDER: The Webb Law Firm

700 Koppers Building 436 Seventh Avenue Pittsburgh, PA 15219

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-2450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select Option 2.



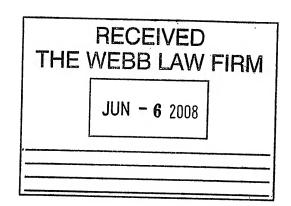
# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO.                            | FILING DATE                     | FIRST NAMED INVENTOR    | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |
|--|---------------------------------|-------------------------|-------------------------|------------------|
| 10/542,127                                 | 12/20/2005                      | Yamini Bhushan Tripathi | 4544-052144             | 8251             |
|  | 7590 06/04/200<br>AW FIRM, P.C. | 8                       | EXAMINER                |                  |
| 700 KOPPERS BUILDING<br>436 SEVENTH AVENUE |                                 |                         | TATE, CHRISTOPHER ROBIN |                  |
| PITTSBURGH, PA 15219                       |                                 | •                       | ART UNIT                | PAPER NUMBER     |
|  |                                 |                         | 1655                    |                  |
|  |                                 |                         |                         |                  |
|  |                                 | •                       | MAIL DATE               | DELIVERY MODE    |
|  |                                 | •                       | 06/04/2008              | PAPER            |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



|  | Application No.   | Applicant(s)                           |              |
|--|---|--|--------------|
| Supplemental   | 10/542,127  | TRIPATHI, YAMINI                       | RHIISHAN     |
| Notice of Allowability   | Examiner  | Art Unit                               | DITOGRAM     |
|  | Christopher R. Tate   | 1655                                   |              |
|  |   |  |              |
| The MAILING DATE of this communication apperature All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313 | (OR REMAINS) CLOSED in this app<br>or other appropriate communication<br>GHTS. This application is subject to | olication. If not include              | ed           |
| 1. $\boxtimes$ This communication is responsive to <u>the amendment filed</u> 2  | 2 <u>9 November 2007</u> .  |  |              |
| 2. X The allowed claim(s) is/are <u>1-5</u> .  |   |  |              |
| <ol> <li>Acknowledgment is made of a claim for foreign priority una a)</li></ol>   | been received. been received in Application No  |  | ion from the |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" on noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.   | of this communication to file a reply of ENT of this application.   | complying with the req                 | uirements    |
| <ol> <li>A SUBSTITUTE OATH OR DECLARATION must be submi<br/>INFORMAL PATENT APPLICATION (PTO-152) which give</li> </ol>  | tted. Note the attached EXAMINER's<br>s reason(s) why the oath or declarat                                    | S AMENDMENT or No<br>ion is deficient. | OTICE OF     |
| 5. CORRECTED DRAWINGS ( as "replacement sheets") must  | t be submitted.   |  |              |
| (a) [ including changes required by the Notice of Draftsperso  |   | 948) attached                          |              |
| 1) 🗌 hereto or 2) 🔲 to Paper No./Mail Date   |   |  |              |
| (b) including changes required by the attached Examiner's<br>Paper No./Mail Date   |   |  |              |
| Identifying indicia such as the application number (see 37 CFR 1.<br>each sheet. Replacement sheet(s) should be labeled as such in th  | 84(c)) should be written on the drawing the header according to 37 CFR 1.121(d                                | gs in the front (not the<br>).         | back) of     |
| <ol> <li>DEPOSIT OF and/or INFORMATION about the depose attached Examiner's comment regarding REQUIREMENT F</li> </ol>   | sit of BIOLOGICAL MATERIAL m<br>FOR THE DEPOSIT OF BIOLOGICA  | ust be submitted. N<br>L MATERIAL.     | ote the      |
|  |   |  |              |
| Attachment(s) 1. ⊠ Notice of References Cited (PTO-892)  | 5. Notice of Informal Pa  | tent Application                       |              |
| 2. Notice of Draftperson's Patent Drawing Review (PTO-948)   | 6.  | PTO-413),                              |              |
| <ol> <li>Information Disclosure Statements (PTO/SB/08),<br/>Paper No./Mail Date <u>0208</u></li> </ol>   | 7. X Examiner's Amendme   | ent/Comment                            |              |
| <ol> <li>Examiner's Comment Regarding Requirement for Deposit<br/>of Biological Material</li> </ol>  | 8. 🛛 Examiner's Statemen  | it of Reasons for Allov                | vance        |
| ·  | 9.  Other   |  |              |
|  |   |  |              |
|  |   | •                                      |              |

Application/Control Number: 10/542,127

Art Unit: 1655

#### IN THE CLAIMS:

In claim 1, at line 3, a comma has been inserted after the term "anacardium".

Also in claim 1, at line 4, the term "Bhusma" has been omitted and replaced with --Bhasma--.

In claim 2, at line 8, the word --of-- has been inserted after the term "powder".

Also in claim 2, at line 9, the term "Termenalia" has been omitted and replaced with phrase --of Terminalia--.

Also in claim 2, at line 10, the term "Bhusma" has been omitted and replaced with --Bhasma--.

In claim 5, at line 2, a comma has been inserted after the term "anacardium".

Also in claim 5, at line 7, word --of-- has been inserted after the term "powder".

Also in claim 5, at line 8, the phrase "powder-water extract Termenalia" has been omitted and replaced with the phrase --powder of water extract of Terminalia--.

Also in claim 5, at line 9, the term "Bhusma" has been omitted and replaced with --Bhasma--.

#### Reasons for Allowance

The prior art does not teach nor reasonably suggest a polyherbal preparation for treating and/or reducing the risk of atherosclerosis and hyperlipidemia comprising an effective amount of a combination of the six recited ingredients - as instantly claimed. It should be noted that although the corresponding PCT Chapter II opinion (409) submitted with the IDS filed 07

Application No. 10/542,127
Paper dated November 29, 2007
Response to Office Action dated August 29, 2007
Attorney Docket No. 4544-052144

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

10/542,127

Confirmation No.

8251

Applicant

Yamini Bhushan TRIPATHI

Filed

:

December 20, 2005

Title

:

A NOVEL POLYHERBAL PREPARATION

FOR THE PREVENTION OF ATHEROSCLEROSIS

AND HYPERLIPIDEMIA

Group Art Unit

1655

Examiner

Christopher Robin Tate

Customer No.

28289

## MAIL STOP AMENDMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

## **AMENDMENT**

Sir:

In response to the Office Action mailed on August 29, 2007, Applicant submits this Amendment. This Amendment contains the following parts:

Amendments to the Claims, which begin on page 2 of this paper; and

Remarks, which begin on page 4 of this paper.

I hereby certify that this correspondence is being electronically submitted to the United States Patent and Trademark Office on November 29, 2007

Mary Ann Mulvihill
(Name of Person Submitting Paper)

Mary Una Mulvihill 11/29/2007
Signature

Date

Application No. 10/542,127 Paper dated November 29, 2007 Response to Office Action dated August 29, 2007 Attorney Docket No. 4544-052144

## **AMENDMENT TO THE CLAIMS**

This listing of claims will replace all prior versions, and listings, of claims in the application:

## **Listing of Claims**

- 1. (Currently Amended) A polyherbal preparation for the prevention of treating and/or reducing the risk of atherosclerosis and hyperlipidemia comprising an effective amount of a mixture of Commiphora mukul, Boswellia serrata, Semecarpus anacardium, Strychnos nux vomica, Terminalia arjuna and Shankha Bhusma. Bhasma
- 2. (Currently Amended) A polyherbal preparation as claimed in claim 1 wherein Commiphora mukul, Boswellia serrata, Semecarpus anacardium Strychnos nux vomica, Terminalia arjuna and Shankha Bhūsma the constituents are present in the following ratio:

| 5  | Purified Commiphora mukul                      | 1 to 4     |
|----|--|------------|
| •  | i diffica Commphora mukui                      | 1 10 4     |
|    | Pure Boswellia serrata                         | 0.5 to 4   |
|    | Purified Semecarpus anacardium                 | 0.1 to 0.4 |
| 8  | Purified powder Strychnos nux vomica           | 0.4 to 2   |
|    | Pure powder of water extract Termenalia arjuna | 0.3 to 2   |
| 10 | Shankha Bhusma-Bhasma                          | 0.5 to 2   |

- 3. (Currently Amended) A polyherbal preparation as claimed in claim 1 <u>further</u> comprising Rubia cordifolia, Bacopa monnieri, Triphala and Trikatu.
- 4. (Currently Amended) A polyherbal preparation as claimed in claim 3 wherein <u>Rubia cordifolia</u>, <u>Bacopa monnieri</u>, <u>Triphala and Trikatu</u> the following constituents are added in the following ratio:

| Rubia cordifolia   | 0.05 to 1 |
|--------------------|-----------|
| or Bacopa monnieri | 0.5 to 3  |
| or Triphala        | 0.5 to 3  |
| and Trikatu        | 0.5 to 3  |

LC2446.DOC

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5. (Currently Amended) A polyherbal preparation as claimed in claim 1 wherein Commiphora mukul, Boswellia serrata, Semecarpus anacardium Strychnos nux vomica, Terminalia arjuna and Shankha Bhūsma the constituents are present in the ratio of:

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|   | Purified Commiphora mukul                        | 3.7 |
|---|--|-----|
|   | Pure Boswellia serrata                           | 3.0 |
|   | Purified Semecarpus anacardium                   | 0.1 |
|   | Purified powder Strychnos nux vomica             | 1.0 |
|   | Pure powder water extract Termenalia arjuna bark | 0.7 |
| 9 | Shankha Bhusma Bhas ma                           | 1.5 |

6. (Canceled).